

# Enhancing Compliance: The Role of Tokenisation and AI in Prudential and Conduct Regulation

Programme Code: HGCP00P24112

26 November 2024 (Tue)  
12:00nn –2:00pm


**2  
HOURS**

HKIB ECF SFC  
CPD CPD CPT

## Programme Outline

- **Tokenisation - from Concept to Application:** Exploring the fundamentals of tokenisation and its practical applications in converting real world assets into digital tokens.
- **Artificial Intelligence - Technologies and Application:** A refresher on key AI underlying technologies, including natural language processing, machine learning and data visualisation, featuring practical examples such as a case study on tokenised green bond.
- **Impact of Tokenisation and AI on Financial Architecture:** A discussion on how tokenisation and AI are reshaping the current financial landscape and the implications for prudential regulation moving forward.
- **Evolving Conduct Regulation in the Face of Transformation:** An examination of how conduct regulation is adapting to keep pace with ongoing developments.

## Speaker Introduction

The speaker has over 20 years' experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. Besides, she provides professional training and consultancy services to various institutions. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation.



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Enquiry :  
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<https://hkib.org/page/185>

## Target Audience

1. Banking Practitioners
2. Compliance and AML Officers, Financial and IT Professionals
3. HKIB Professional Qualification holders:



## Programme Delivery

Virtual Classroom (Zoom)  
Cantonese

## Early Bird DEADLINE

12 November 2024 (Tuesday)

## Application DEADLINE

19 November 2024 (Tuesday)

### PRICE LIST

Early Bird  
Regular Price

Individual  
Member  
HKD  
600

HKD660

Staff of  
Corporate  
Member  
HKD  
720

HKD790

Non-  
Member  
HKD  
800

HKD880