

Regulatory Reporting Compliance: Exploring the Framework for Authorized Institutions (AIs)

Programme Code: HGCP00P24113

30 November 2024 (Saturday)
9:00am – 12:00nn


**3
HOURS**

HKIB ECF SFC
CPD CPD CPT

Programme Outline

This training program is designed to navigate the intricate landscape of financial reporting and meet the stringent regulatory requirements imposed on Category 2 institutions (LMR) and AIs incorporated outside Hong Kong.

Key Highlights:

- Mastering Regulatory Form Completion**
Expertise in completing MA (BS) 1 - Returns on Assets and Liabilities and MA (BS) 1C - Return of Profit and Loss Account.
- Comprehensive Understanding of Regulatory Framework**
Exploration of the Banking (Exposure Limits) Rules and statutory liquidity management requirements.
- Loan and Provision Analysis**
Analysing loans and provisions by economic sectors for asset classification and off-balance sheet management.
- Global Standards Alignment and Risk-Based Supervision**
Aligned with global standards from the Basel Committee, applying a risk-based "continuous supervision" model for AIs.

Speaker Introduction

The speaker is a senior executive in financial services industry with over 20 years of experience. He has held different senior positions in Big 4 firms, a note-issuing bank and various licensed commercial banks with footprints over Europe, East Asia and Greater China. Currently, he serves as the General Manager and Head of Performance Management at a licensed commercial bank, responsible for financial management of the Bank. He has strong expertise in regulatory reporting, risk and compliance management, treasury and financial operations as well as strategic planning. In addition to holding a Doctor of Business Administration, he is also a CPA, CFA, FRM, CSTMP, CAMS, and ACsP.

Target Audience

- Banking Practitioners
- Compliance and AML Officers, Financial Professionals
- HKIB Professional Qualification Holders:



Programme Delivery

Virtual Classroom (Zoom)
English

Early Bird DEADLINE

16 November 2024 (Saturday)

Application DEADLINE

23 November 2024 (Saturday)



APPLY NOW!

Enquiry :
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<https://hkib.org/page/185>

PRICE LIST

Early Bird
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900

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Corporate
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1,080

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