HKiB The Hong Kong Institute of Bankers | CERTIFIED BANKER | FLEX LEARNING POWERED BY THE HKIB

Advance KYC in Practice - Best Practical Examples and Case Studies with Reference to HKMA AMLO Guidance

Programme Code: HGCP00P24121

4 December 2024 (Wednesday) 7:00pm – 10:00pm

3 HOURS
HKIB CPD · ECF CPD · SFC CPT

#philipkamphotography

Programme Outline

1. Manage AML/CTF on the latest HKMA AMLO Guidance and HKAB FAQ on customer onboarding using Risk Based Approach ("RBA")
2. Getting ahead of recent regulatory actions related to failure to conduct proper Customer Due Diligence ("CDD")
3. Case studies and discussion on the best practices in CDD for specific products, services and scenarios including private banking, correspondent banking, syndicated lending, trade finance & global market activities
4. Refresh on the purpose and regulatory expectation over CDD/KYC based on latest HKMA AMLO Guidance
5. Best Practices on HKAB FAQ on CDD in Action - Elaborating the HKAB FAQ Paper on KYC Best Practices with practical examples and case studies
6. Discussion on selected CDD onboarding topics - SME Clients and remote onboarding

Speaker Introduction

The speaker has 15 years in Compliance and Audit from banks, fintech co and Big 4. She was deputy Alternate Chief Executive ("ACE") in Hong Kong. She led functions including Compliance, Legal, Risk, Finance, Technology and Customer Service in 6 regions including Hong Kong and South East Asia countries. She has both in-house compliance knowledge and experience in dealing with various Hong Kong and overseas regulators. She also managed the on-site and off-site regulatory inspections. In addition, she worked in thematic reviews with the SFC.

Target Audience

1. Banking Partitioners
2. Compliance, Risk Management, Frontline Staff
3. HKIB Professional Qualification Holders :

CAMLP AAMLP CCOP(GC) CCOP(IIC) ACOP
CCRP(CL) CCRP(CPM) ACRP ACsP
AGFP(PS) AGFP(ClimRM) AGFP(SDR) AGFP(SSCC) CRWP ARWP
CPFinT(M) CPFinT(S-AIBD) CPFinT(S-DLT) CPFinT(S-OBAPI) CPFinT(S-RT) CPFinT(A)
CORP AORP

Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

20 November 2024 (Wednesday)

Application DEADLINE

27 November 2024 (Wednesday)

APPLY NOW!

Enquiry :
(852) 2153 7800
programme@hkib.org
https://hkib.org/page/185

PRICE LIST	Individual Member HKD	Staff of Corporate Member HKD	Non-Member HKD
Early Bird	900	1,080	1,200
Regular Price	HKD990	HKD1,190	HKD1,320