

What Banks Should Know When Handling Frauds and Misconduct Incidents

Programme Code: HGCP00P25051

7 May 2025 (Wednesday)
12:00nn – 2:00pm



2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

This course aims to share the best practices in handling fraud and misconduct incidents by financial institutions. The participants can be better prepared to protect their financial institutions from financial losses, reputational damage, and regulatory concerns.

- Highlight the importance of understanding and managing fraud risks and misconduct acts by financial institutions, and the relevant regulatory implications associated with those risks.
- Discuss the recent trend of fraud risks and red flags, and challenges to financial institutions when implementing an effective fraud prevention framework.
- Share the best practices when handling fraud and misconduct cases by financial institutions, and the key legal and regulatory requirements to be observed.

Speaker Introduction

The speaker held regional leadership roles in various international financial institutions, providing management oversight on compliance, AML/CFT, legal, conduct and governance in relation to private banking, corporate banking, investment banking, global markets and securities businesses. In addition to her diversified exposures in the regulatory framework of different countries, she has a record of fostering sound culture and integrity.

She is a fellow member of the Association of Chartered Certified Accountants and the Hong Kong Institute of Certified Public Accountant, and holds BA (Hons) Degree in Accountancy, Degree in Laws, Master of Science Degree in Finance and Master in Business and Administration.

Target Audience

1. Banking Practitioners
2. Compliance Officers and Risk Managers
3. Professionals in Financial Institutions,
4. Legal and Regulatory Compliance Professionals
5. HKIB Professional Qualification holders :



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

30 April 2025 (Wednesday)



APPLY NOW!

Enquiry :
(852) 2153 7800
programme@hkib.org
<https://hkib.org/page/185>

**PRICE
LIST**

Price

Individual
Member
HKD

HKD660

Staff of
Corporate
Member
HKD

HKD800

Non-
Member
HKD

HKD880