

Adopting AML Control in Mitigating Tax Evasion Risk

Programme Code: HGCP00P25052

15 May 2025 (Thursday)
7:00pm – 9:00pm

2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- Understand what is Tax Evasion and how does it relate to Financial Institutions
- Background and evolution of regulatory expectation globally and locally
- Develop an understanding on the guidance from HKMA on the topic on Tax Evasion
 - Cases Studies on Tax Evasion
 - AML Framework on Tax Evasion
- Red Flags Indicators for CDD and Beyond

Speaker Introduction

The speaker has 15 years in Compliance and Audit from banks, fintech company and Big 4 firm. She was Deputy Alternate Chief Executive (“ACE”) in Hong Kong. She led functions including Compliance, Legal, Risk, Finance, Technology and Customer Service in 6 regions including Hong Kong and Southeast Asian countries. She has both in-house compliance knowledge and experience in dealing with various Hong Kong and overseas regulators. She also managed the on-site and off-site regulatory inspections. In addition, she worked in thematic reviews with the SFC.

Target Audience

1. Banking Executives
2. Front Office / KYC support
3. Compliance Senior Management
4. HKIB Professional Qualification holders :



Programme Delivery

Virtual Classroom (Zoom)
English

Application DEADLINE

8 May 2025 (Thursday)



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